



GP-2152  
#2  
BT  
10-9-02

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : September 25, 2002  
BE. Aupperle, et al : IBM Corporation  
Filing Date: August 24, 2001 : Dept. T81/Bldg. 503-3  
Serial No. 09/938,966 : P.O. Box 12195  
For: Apparatus and Method for Determining Compatibility : Research Triangle Park,  
of Web Sites with Designated Requirements Based on : North Carolina 27709  
Functional Characteristics of the Web Sites : Art Unit 2152

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56.

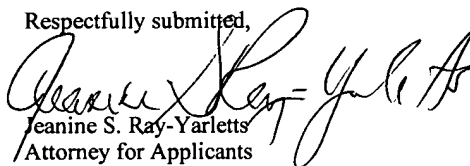
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

  
Jeanine S. Ray-Yarletts  
Attorney for Applicants  
Registration No. 39,808

JSR:dl

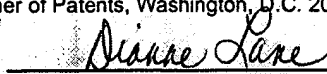
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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper and fee are being deposited with the United States Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on September 25, 2002.

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Form PTO-1449

ATTORNEY DOCKET NO.  
RSW920010130US1SERIAL NO.  
09/938,966LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

APPLICANT B.E. Aupperle, et al

FILING DATE  
August 24, 2001GROUP ART UNIT  
2152

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO	ISSUE DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

A	"Unsafe Surfing: Malicious Mobile Code Infecting Mainstream Web Sites, Reports Websense Inc", PR News Wire, August 15, 2002, San Diego, CA, <a href="http://www.websense.com/products/resources/wp/index.cfm">http://www.websense.com/products/resources/wp/index.cfm</a>
B	"Premium Group III: A New Approach to Battling Malicious Mobile Code", June 2002, Websense

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.